



# Presentation of the programming guidelines of the proposed Neighbourhood, Development and International Cooperation Instrument (NDICI)

*November 2020*

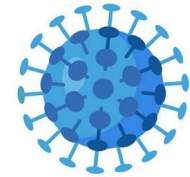




NDICI programming guidelines  
*Cooperating in a more contested  
world*  
WHY?



Key for the next years: Pandemic response and preparedness



# A stronger Europe in the world

## Pillars of the 'Geopolitical Commission'

**5 priority areas for the Commission's work on International Partnerships**

### Green Deals

Circular economy; biodiversity; green and smart cities; sustainable energy; food systems: from farms to forks; water and oceans; pollution

### Digital, Science, Technology & Innovation

Digital connectivity; digital skills and entrepreneurship; e-services; data protection

### Alliances for Sustainable Growth and Development

Investment; risk de-risking; creation of decent jobs; education and skills; business environment and investment climate; regional economic integration, trade & connectivity

### Migration partnerships

Root causes of irregular migration and forced displacement; migration management; durable solutions for refugees, legal pathways

### Governance, Peace & Security

Human rights, democracy, fundamental values; rule of law and accountability; conflict prevention; sustaining peace and building resilience; fight against terrorism and organised crime



# NDICI Policy Framework

- **EU cooperation, as part of EU external action, will remain guided by:**
  - the 2030 Agenda and the SDGs,
  - the Paris Agreement,
  - the Addis Ababa Action Agenda,
  - the Global Strategy for the EU's Foreign and Security Policy,
  - the new European Consensus on development.
- **Eradicating poverty and tackling all inequalities will be essential for people's ability to seize development opportunities.**
- EU actions must apply the principles of “do no harm” and “build back better”.



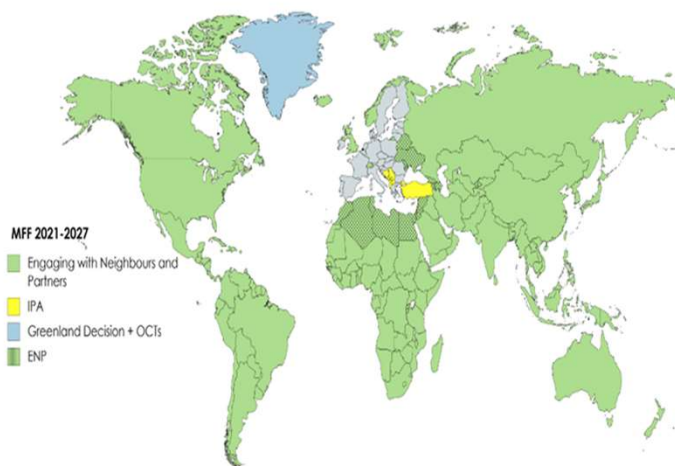
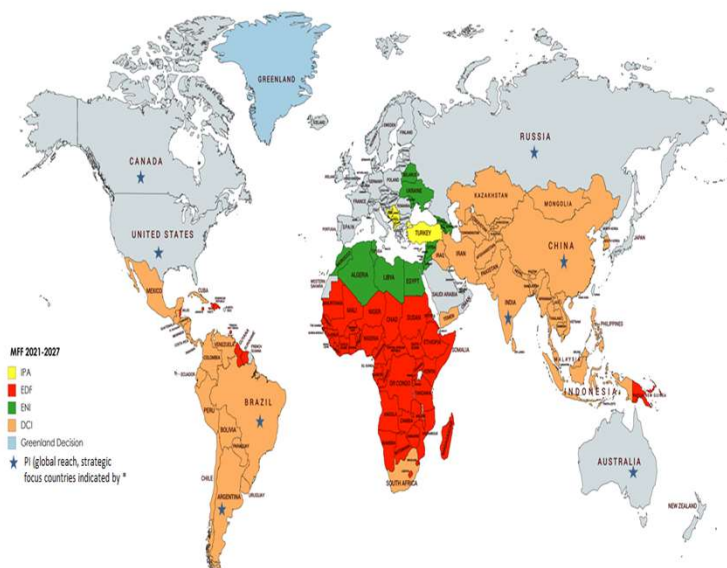
# NDICI as a game changer

NDICI's **objective**: uphold and **promote** the Union's values and interests worldwide

2014-2020

NDICI Global Reach

2021-2027



EU Policy priorities

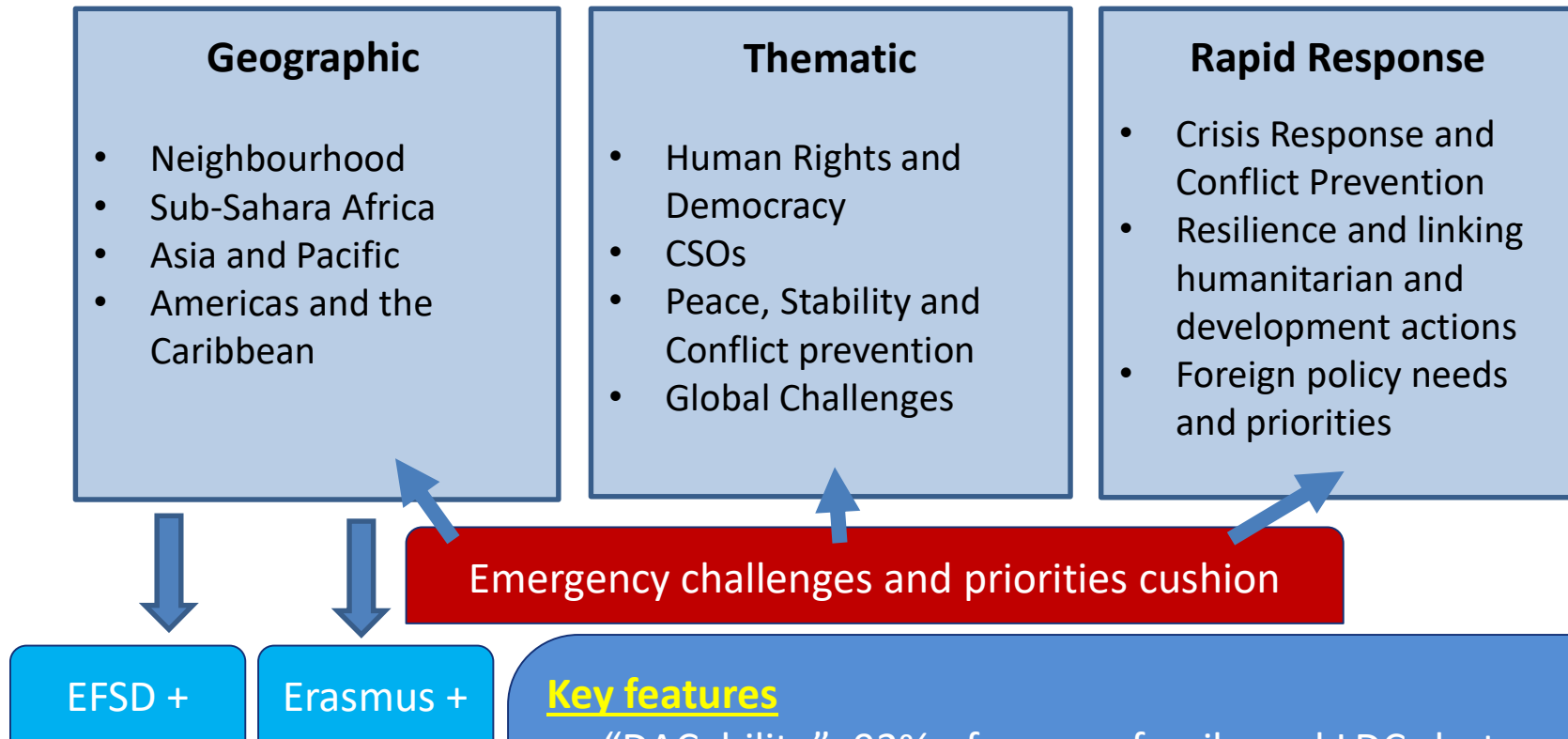


Partnerships

- Simplification
- Consistent and Flexible approach
- Rapid Response and Programmed Cooperation



# NDICI - Structure and features



## Geographic

- Neighbourhood
- Sub-Sahara Africa
- Asia and Pacific
- Americas and the Caribbean

## Thematic

- Human Rights and Democracy
- CSOs
- Peace, Stability and Conflict prevention
- Global Challenges

## Rapid Response

- Crisis Response and Conflict Prevention
- Resilience and linking humanitarian and development actions
- Foreign policy needs and priorities

Emergency challenges and priorities cushion

EFSD +

Erasmus +

### Key features

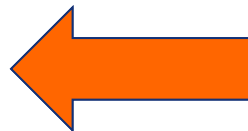
- "DACability": 92% - focus on fragile and LDCs but possibility to work with all partners regardless their level of development, including promotion of EU interests & values.
- Spending targets for climate change (25%), migration (10%) and social inclusion and human development (20% ODA)



# Institutional set up

## EEAS leads:

- Allocation of funds
- Geographic programmes
- Thematic programmes:
  - Human rights & Democracy
  - Peace & Stability (FPI)
  - Nuclear Safety



## COM/DEVCO leads:

- Erasmus+
- Thematic programmes:
  - Civil Society Organisation
  - Global Challenges

**EU Delegations:** draft the country (and multi-country) MIPs

**HQ:** draft regional, thematic and ERASMUS+ MIPs

Other Commission DGs and services, and the EIB as appropriate, will be consulted throughout the programming exercise.

**EU MS, as well as the European Parliament and the Council,** will be duly consulted and associated to the programming exercise according to the NDICI Regulation.





## MFF/NDICI final negotiations – almost there...



- NDICI logic and consolidation was supported in European Council – significant endorsement, as well as budgetisation of the EDF.
- Agreement reached last 11/11 between Council and European Parliament on next MFF
- **Legislative process on-going** with the European Parliament and the Council.
- **Open questions:** Spending targets incl. migration and climate change; NDICI Governance.





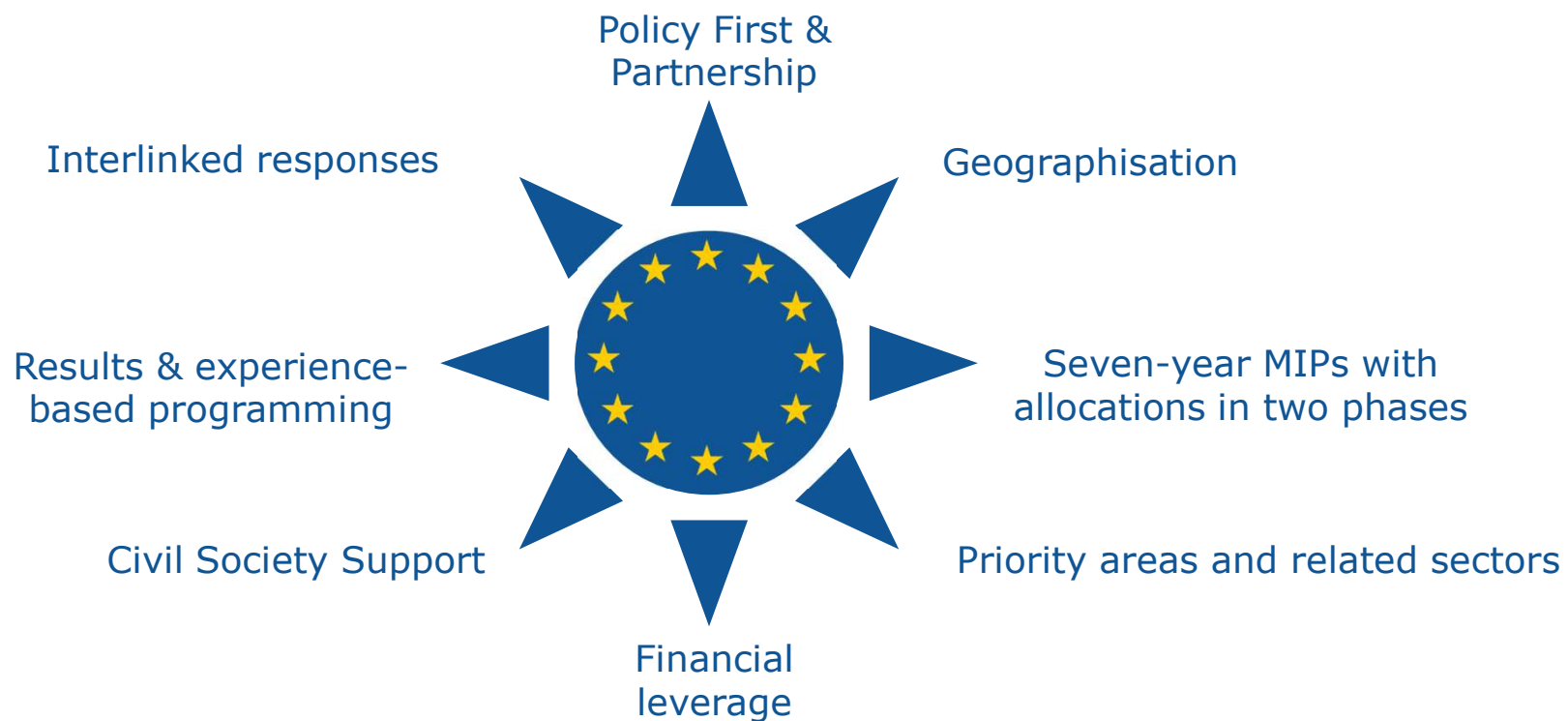
# NDICI programming guidelines

## *Towards a reinforced policy-driven programming*

How?



## General guiding programming principles



Joint programming

Team Europe Initiatives



# Policy first & Partnership



**Geopolitical priorities :** Green Deal; Digital and Data Technologies; Alliances for Sustainable Growth and Jobs; Migration Partnerships; Governance, Peace and Security  
**Cross-cutting priorities:** Civil Society, Public and Private Sector, Financial Institutions; Gender Equality and Women's Empowerment; Human Capital



**Partnership approach beyond "traditional" development cooperation**  
Development at the core of the NDICI (e.g. 92% DACable) but  
- Cooperation with all partner countries regardless level of development.  
- External dimension of internal policies



**Team Europe approach**

- Working in coordination with our European partners: MS, agencies, EU FIs.
- Joint programming as preferred approach



**Strategic and coherent use of the implementing toolbox, including innovative instruments with leverage effect**

## A Geopolitical Commission:

Line DGs & NDICI internal governance (EEAS, NEAR, FPI)



# Geographisation

## Subsidiarity principle

- Implementation of EU priorities at the most appropriate level, either national, regional / multi-country or multi-regional levels
- EU intervention adapted to the local context (“ownership”)
- **Programming process as a communication and policy tool:** importance of the policy dialogue and the coordination of actors at field level (also Team Europe)

## Translates into:

- **Country programming:** need for a strategic and comprehensive EU response in the country MIPs
- **Regional programming** complements country MIPs, serves countries without bilateral MIP, focus on priorities better addressed regionally
- **Thematic programmes** complement geographic ones (focus on global issues)
- **Rapid response** non-programmable
- **Cushion:** responds to unforeseen needs; possible top-up for NDICI pillars



## 7 years MIPs with allocation in two phases

- **Country MIP:** synchronised review with country's cycle.
- **Country allocations:**
  - Immediate availability of initial indicative allocation for 2021-2024 (unless another period is preferable to enhance synchronisation).
  - Additional indicative amount for the rest of the 7-year period but available only after a decision by the Commission within the last six months of the initial period.
  - The duration of these periods or the indicative allocation may be reviewed during the MIP implementation.
  - Allocations to be communicated by the end of the year and to be established on the basis of NDICI criteria (art. 11 needs, capacities, commitment, performance, shared interests and values).
- **Regional and thematic MIPs:** mid-term review.



## Articulation between priority areas and TE Initiatives

- *Priority areas should be informed by the TEIs*
- *TEIs could be tackled through one or more of the selected priority areas.*
- *Not all priority areas need to be conducive to TEIs.*

### Priority area 1: Green and resilient economy

#### Indicative Sectors:

- Energy generation, renewable sources (DAC code: 232)
- Basic nutrition (122 Basic health)
- Agriculture, forestry, fishing (310)

### Priority area 2: Human development

#### Indicative Sectors:

- Social infrastructure and services (e.g. social protection) (160)
- Basic health (122)

### Priority area 3: Good governance, Peaceful and just society

#### Indicative Sectors:

- Government & Civil Society-General (151) *[includes for example the fight against gender-based violence, as well as justice; etc.]*
- Conflict, Peace and Security (152)



**Sustainable Green  
Development**



**Development of Digital  
Transformation,  
Business and Education**





## Meeting the targets: interlinked responses



- “Matrioshka doll” i.e. one euro to fit as many purposes as possible.
- The fight against climate change, environmental protection, human rights, democracy, gender equality and, where relevant, conflict prevention and disaster risk reduction should be **mainstreamed** throughout all programmes and actions.
- **Spending targets** to apply on climate change; migration; human development and social inclusion; education.
- EC Commitment towards the 85% target of actions to have **gender equality and women’s empowerment** as a principal or significant objective PLUS at least one project per country should have gender equality as its main objective over the period 2021-2027.



## Civil Society support

“I want you to have a dedicated focus **on supporting civil society around the world**. As the experts on the ground, they often lead the way on sustainable development. We should ensure they have a far greater role in designing and implementing European policies, programmes and projects.”

*Extract of Mission Letter from President Von der Leyen to  
Commissioner Urpilainen*



- Joint programming (JP) is the preferred approach in NDICI
- Delegations should prepare JP documents with EU MS, or indicate by when JP will be achieved.
- Approval procedures and further methodological support are laid out in the “Working better together as Team Europe (through joint programming and joint implementation)” of 2020:

<https://europa.eu/capacity4dev/file/107089/download?token=FDdw JOA>

- The Team Europe approach is a way to visibly brand and label the joint external cooperation of the EU and its Member States
- Team Europe=EU institutions+ EU MS and their implementing and finance institutions + EIB + EBRD.



# Consultations

## European Cluster

EU Member States, EU Member States agencies and development finance institutions, the EIB, other European financial institutions including the EBRD, as well as the EU CSOs and foundations and the EU private sector

=> **Team Europe approach:** consultations with the European cluster to be systematic

**Country ownership, Inclusiveness**



**Transparency, Flexibility**

## Local cluster

Partner countries governments and also parliaments, CSOs **including** the youth and women, local authorities, traditional authorities when relevant, local private sector

=> Events with CSOs, incl. women and youth, and local authorities, should be held.

## International Cluster

like-minded third donors/partners, international CSOs, private sector, IFIs, UN agencies, etc.)

=> EU Delegations to liaise with the UN Resident Coordinator

**TO NOTE: Summary of the consultations to be sent together with draft MIPs.**



# NDICI Programming guidelines

## *Programming processes*

What and When?



# Geographic programming

## ➤ Country MIP:

- Basis for programming (NDP: 69; EUFD: 21);
- Status of JP;
- Priority areas and sectors (justification, related SDGs, objectives, results & indicators);
- Duration/synchronization;
- Proposed country TEIs;
- Support measures (CSOs, Cooperation Facility);
- Support to investments;
- Financial overview.





# Geographic programming

## ➤ Regional (multi-country) MIP:

- To be driven by sub-regional needs, geopolitical dynamics, common interests and priorities, and channelled through the most appropriate groupings;
- Can support cooperation at continental, multi-country/regional or even country level;
- To not be exclusively centred on regional organisations;
- Small island states, high-income countries and some others will benefit from regional cooperation programmes



# Public Diplomacy & Communication

- **An allocation for a Cooperation Facility** is foreseen in the geographic MIP which can encompass capacity development and institution building, support to policy dialogues, to JP/TE coordination, to preparation, implementation and evaluation of programmes, as well to public diplomacy and communication.
- **Communication activities**, including:
  - a) **Press & Information:** to provide timely, factual and locally relevant information to the general public and the press;
  - b) **Strategic Communication:** to propose proactively positive, factual, value-based and locally-relevant narratives and **campaigns** underpinning EU policy priorities
- **Public Diplomacy: long term people-to-people engagement process** aimed at **building trust** and **mutual understanding** [i.e. common ground] with foreign publics beyond governmental relations in order to facilitate future cooperation **across policy areas** → **A long term effort require significant resources: we must think ahead, be strategic & programme now:**
  - i. what policy area will you focus over the next 7 years?
  - ii. Do you require a shift in public opinion?
  - iii. Which target audiences/partners can help you? (e.g. youth for climate? NGOs for human rights? Businesses & consumer associations for trade/EU standards?)



# Fragile countries – Conflict analysis

**NDICI Art. 10.2 a bis:** *“When drawing up the programming documents for countries and regions in crisis, or post-crisis, and for fragile and vulnerable situations, a **conflict analysis shall be conducted to ensure conflict sensitivity ...**”*

**58 countries** selected by EEAS, DEVCO, NEAR: distribution by SECEM registered email to all Delegations (week 16 Nov) to apply the Guidance provided under Annex 5 of the Programming Guidelines

## **2020:**

- 13 Conflict Analysis Screenings: start in 2020 (5 already started; outreach to others week 16 Nov) – Guidance A
- 45 Preliminary Conflict Assessments: by end Dec 2020 (brief questionnaire) – Guidance B

**2021-2022:** 45 Conflict Analysis Screenings (following the preliminary conflict assessments)

## **Methodology: ‘Conflict Analysis Screenings’ – Joint Technical User’s Guide**

- Steps: Scoping, Literature review, 4 group exercises: Drivers, Scenarios, Conflict sensitive programming, Recommendations.
- Validation, M&E

**Delegations** in the lead; **HQ support** available (expert days, process facilitation)

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# Process and calendar

STEPS	ESTIMATED DATE
Launch of the programming exercise	4 November 2020
Submission draft country, ERASMUS+ and thematic MIPs (along with a summary of consultations held)	By end January 2021
Submission draft regional/multi-country MIPs (along with a summary of consultations held)	By end February 2021
For the Neighbourhood, political agreement on basis for programming	TBD
Country team meetings	March 2021
Feedback to Delegations and HQ services on draft MIPs	By mid-April 2021
Final draft MIPs submission (along with a summary of consultations held)	By mid-May 2021
Final adoption of MIPs	By end July 2021



## Contacts

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